



Leveraging Process Management for Strategic Change and Organizational Learning in Industry 4.0

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Abstract

In this article, we examine how effective process management (PM) in I4.0 is distinguished by its ability to integrate human resources (HR) and operational processes towards a strategic goal and organizational configuration, within the context of achieving competitive advantage. This research focused on small- and medium-sized enterprises (SMEs) in the manufacturing and service industries in Mexico. We surveyed firms with the help of a simple random sampling method, and we received responses that we could use. Three levels of data analysis were performed: descriptive statistical analysis for the participating companies and respondents', confirmatory factor analysis for the measurement models to test if they fitted the data, and causal analysis to determine the hypothesised relationships between constructs. The findings indicate that PM contributes to the implementation of I4.0 in a systemic way as a critical mediator. In this article, the author attempts to identify three central objectives in the process of adopting I4.0: strategic enhancement, competitiveness, and organisational integration. PM has significant effects on these objectives, which are accomplished through two primary functions: HR and operations. This paper emphasizes the role of I4.0 PM in the digital transformation of SMEs and ensures HR and operations are leveraged to enable strategic change, organizational learning, and enhanced competitiveness.

Keywords: Digital transformation, Industry 4.0 (I4.0), process management (PM), small and medium-sized enterprises (SMEs)

Introduction

Innovation-driven change sometimes referred to as the fourth industrial revolution, centres on the heightened movement towards the automation, digitisation, and integration of various production activities. In order to appreciate this



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transformation, it becomes imperative to contextualise the evolution of industrial revolutions. The first industrial revolution began in the late eighteenth and early nineteenth century when there was a transition from agrarian society to an industrial economy, characterised by the use of mechanisation. The introduction of new forms of energy, notably electricity, gas, and oil during the latter part of the 19th century ushered in the second industrial revolution with the development of combustion engines and new production systems. The widespread use of nuclear energy, electronics, telecommunications, and computers, coupled with a high degree of automation in production, is the hallmark of the third industrial revolution. Unlike previous industrial revolutions, the Fourth is distinct because it represents the integration of the physical, digital, and biological spheres through the use of new technologies. It has far-reaching consequences across disciplines, economies, and industries, even raising concerns about what it means to be human. These shifts and disruptions hold significant promise and peril intertwined.

With Industry 4.0 (I4.0) technologies already in the picture, changes in industrial value creation depend on their competitive capabilities, which greatly require further improvement. On the other hand, the level of impact from I4.0 technologies and practices integration will rely on the company's region, its location, and the economic maturity of the country in which the company operates. Thus, the breadth and depth of impact resulting from technology adoption on I4.0 will vary from region to region as well as country to country. Strategies need to be developed in a way that addresses these differences while enabling organisations to fully utilise the power of I4.0, and hence, be able to outcompete others sustainably in their metrics and contexts. A study suggests that developed countries are more capable than developing countries of reaping the rewards of I4.0 organisational efficiency and agility. On the other hand, the most difficult obstacle for developing economies, and hence, the most important issue for organisations, is employee resistance to change. Regarding I4.0, the successful digital transformation of small- and medium-sized enterprises (SMEs) is not only dependent on the purchase and installation of new technologies but also on the integration of human resource (HR) and operational processes within strategic goals. Nonetheless, current studies tend to overlook I4.0 process management's (PM) capability of enabling the alignment process, especially in the context of leveraging organisational human and operational resources towards attaining a sustainable competitive advantage. This article attempts to fill this gap by analysing how I4.0 PM can serve as an enabler in the digital transformation process of SMEs through the effective use of human resources and operational capabilities to drive strategic change and organisational adaptation. Our findings broaden the existing models by asserting that I4.0 PM is not only about process optimisation but rather it transforms the organisation into one that is capable of responding to change. Unlike previous frameworks, which regard the control of I4.0 processes as an endpoint goal, we contend that I4.0 PM must be seen as a means of achieving primary IT business objectives, such as efficiency and agility. These shifts in thinking challenge existing paradigms and offer a deeper understanding of the role that PM fulfils in the context of I4.0 integration. This article enlightens SMEs on the intricacies of digital transformation and how, via a systematic and process-based approach, organisations can succeed in the long run. Elaborating further on the discussed work, the survey questionnaire was expertly translated into Spanish by a



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professional who understands I4.0 very well. The translation also required the appropriate adaptation on a cultural and contextual level. The translated questionnaire was then used to carry out a deep dive investigation within the scope of Mexican SMEs. This exercise was directed towards adapting and fine-tuning the survey model for implementation in the particular environment of Mexican SMEs, with special attention on I4.0 endeavours in the Mexican business environment. Thus the article combines the existing survey instrument with the information obtained from the Mexican SME's world bringing I4.0 implementation strategies into the phenomenon based multidisciplinary research of the Mexican SMEs. The focus of the research was SMEs from Mexico, the sample for the study was firms located within Mexico City. The interviews were conducted with the member firms of the Confederación Patronal de la República Mexicana (COPARMEX) and the Cámara Nacional de Comercio (Canaco). This sample has captured SMEs from Mexico City which is in line with the spatial distribution of the population of enterprises in Mexico where Mexico City has a majority of the enterprises. Categorisation of the sectors was done using information from the Mexican Social Security Institute from the year 2021. Using simple random sampling, firms were chosen, and the respondents from the survey were the high and mid-level managers who had sufficient knowledge about the company's digitalisation and technological processes.

Literature Review

Industry 4.0

In developed and developing economies alike, as well as Africa, Europe, and North America, the most evident advantage from the implementation of I4.0 is to improve, minimise, and automate operations, costs, and gain or maintain a foothold in the business environment. It is quite curious in Asia where the biggest advantage is said to be increasing customer satisfaction which is one challenge of competition by itself. There is a widespread acknowledgment that strategy formulation involves the proactive or anticipatory funding of technology, digital competencies, innovative business models and relational and organisational research and development as well as the innovation mark which is the business, corporate, regional or national investment in effort. The focus is to transform the state of technology and put into practice digital business models that have value creation. This goal is achievable through bold and visionary leaders, strong alliances as well as a thoughtful plan and strategy that is agile.

It has been proven that management's goodwill and cooperation with technology and infrastructure providers and vendors has a substantive impact on the implementation of I4.0 technologies. Agreed funding of sophisticated manufacturing technologies and the strengthening of social capital which is internal or organisational and external or interorganisational is argued to correlate positively with the implementation of I4.0 technologies. Both users and providers of I4.0 have their perspectives about it and how it triggers the development of business models in SMEs. Its focus is also on the differences between the users and providers of technology. Studies show that in the business model, the key resources and the value proposition are the ones which get impacted most while the channels are not affected as much. Moreover, some clear differences are seen between the users and providers



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of I4.0. The business models of I4.0 providers have more profound alterations than those of the users, with the exception of key partners and customer relationships [10].

Disruptive change results in a positive direct impact on technological process innovation and digital transformation. SMEs that regard disruptive change as an incentive rather than a challenge are likely to adopt I4 and the digital transformation successfully [11]. A firm aiming to start the journey towards I4.0 must understand what I4.0 is, what value it brings, and what goals SMEs can accomplish through it [12].

Even so, a great number of them do not possess the appropriate knowledge to undertake this challenge, which means they may have to rely on external consultants [13]. Nonetheless, the lack of funds can limit access to such consulting services [14]. While useful for businesses of different sizes, SMEs may have specific difficulties in adjusting to this new industrial environment. There is recognition of the prospective advantages among SMEs; however, there seem to be more worries about the adverse consequences. Some of these issues include insufficient finances and lack of knowledge, which, among others, are the main constraints that SMEs face in trying to implement I4.0 [15].

This study seeks to find out the critical success factors (CSFs) for the adoption and implementation of I4.0 concepts in SMEs and the associated challenges and opportunities in adoption in the SME manufacturing sector. It identifies the adequacy of manpower in terms of skilled personnel, the alignment of I4.0 with the company's strategy, and the existence of a clear business case as the most important CSFs for the adoption and implementation of I4.0 concepts in SMEs. Other important CSFs include access to finance, relevant technology, and infrastructure. The study also outlines the problems that SMEs experience in adopting I4.0 concepts. Such problems are inadequate knowledge and information about I4.0, unavailability of adequate resources, and the adoption costs vis-à-vis the perceived value [17].

Research has created an I4.0 scorecard to analyse I4.0 readiness for SMEs in Mexico and their strengths and weaknesses. Concerning the attention, preparedness, and interest of SMEs in adopting I4.0 technologies, there is some degree of acceptance in I4.0 elements like sensor systems, automation, and preventive maintenance. However, most companies do not know essential technologies of I4.0 like the Internet of Things (IoTs), additive (three-dimensional) manufacturing, augmented reality, and Big Data. From the point of view of SMEs, the perceived advantages of I4.0 are mainly from expected productivity and customer demands along with lowered prices. Even with these advantages, a large number of SMEs consider the high upfront costs as the primary barrier that keeps them from I4.0 technologies [18]. Given these outcomes, there needs to be greater focus on training and educational measures for SMEs to elevate their technical know-how as well as awareness and understanding of I4.0 alongside means to encourage these SMEs financially and usefully [19].

Effectively managing energy crises, especially transforming industries in the context of Industry 4.0 (I4.0), is a highly significant problem that necessitates coherent solutions to anticipate future energy perspectives [59]. Practical analytics based on big data, especially in the context of farming by the use of IoT sensor networks, is



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something that can benefit manufacturing SMEs in optimizing processes and enhancing data driven decisions [60]. Intelligent techniques for short term load forecasting contributes to I4.0 in a significant way in regards to energy management for SMEs in the process of digitization [61]. The automation of text documents through deep learning enhances organizational learning by simplifying the handling of unstructured data in digitalized environments, which amplifies learning in an organization [62]. Businesses in the aerospace and education industries, along with their supply chains, are now adopting the integration of AI and quantum computing. These advancements could yield considerable gains in operational efficiency when applied to I4.0 integrating strategies for SMEs [63]. The advancement of automation in SME industries that are shifting to I4.0 has already begun due to improvements in controlling robotics through deep reinforcement learning in manufacturing processes [64]. AI-powered business intelligence systems are crucial in informing smart city policy-making and governance, and such systems could be leveraged by SMEs to gain a competitive edge through data-driven strategies [65]. Optimizing load forecasting in smart grids with AI-driven solutions offers a roadmap for SMEs in the manufacturing sector to streamline energy consumption and enhance sustainability in line with I4.0 objectives [66]. These references align with the core themes of process management (PM), human resource (HR) integration, and organizational transformation, which are central to enabling strategic change and organizational learning in Industry 4.0 environments.

Methods of assessing the I4.0 implementation have been defined. An I4.0 index based on ERP software packages, CRM system integrations, supply chain management, portable mobile internet devices, online order placements, and cloud computing services has been created. This index indicates that certain countries such as Denmark have the highest performance, while others perform poorly. Mexico's performance has been studied and it has been proven that there are areas which can improve the country's I4.0 performance [20].

Within SMEs, I4.0 technologies are clustered into nine groups such as Big Data and data analytics, simulation, the Internet of Things (IoTs), cyber-physical systems, cloud computing, virtual reality, data security, and collaborative or M2M (machine-to-machine) technology. To date, implementation of I4.0 initiatives has been widely accomplished through Cloud Computing, IoTs, and simulation. Other technologies within this group are non-existent in the SMEs. Strangely enough, despite the known advantages for resource optimisation in other organisations, Big Data methods are not being applied in Mexican SMEs [21].

Industry 4.0 Adoption

The implementation of I4.0 and its related processes and technologies have been analysed in recent years with the technology acceptance model (TAM) or the unified theory of acceptance and use of technology (UTAUT) frameworks. These models provide comprehensive explanations of Anglo-Saxon users' decisions to obtain, utilise and customise technologies. Therefore, technologies are adopted if there is significant value, and the existing solutions are less favourable. Furthermore, the phenomenon of "the psychological moment" occurs as individuals frequently avoid utilising a new system that has the potential to change established processes and practices [22].



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Aspects and case studies have been reviewed. Some small and mid-sized enterprises studied the use of information and digital technologies for sustainable smart manufacturing systems for Industry 4.0. A comprehensive decision-making structure was proposed and the industry's preference over various information and digital technology adoption criteria was analysed. The contribution of management to the implementation process has also been investigated. Other studies examined the role of I4.0 in fostering organisational resilience within circular economy frameworks. Adoption processes have been investigated in several areas including education, and enabling innovations have been discovered in the manufacturing sectors. Adoption behavioural patterns within the same industry were analysed.

The matter has been examined from multiple perspectives including globally. Concentration on the supply chain elements in the automotive sector has been noted. The effect of I4.0 on the supply chain, as well as the use of I4.0 in developing a sustainable supply chain in the renewable energy industry, has been studied. Possible future roles in purchasing and supply management have been established [25]. It has been discovered that for some regions, companies are more advanced than others in the adoption of I4.0. A cluster of studies has concentrated on specific nations analysing the interplay of Technology, Organisation, and Environment. Certain studies narrowed the scope to implementation of I4.0 through a lens of human resource management whereas others defined the policies that catalysed adoption. The phenomenon has been addressed from socio-technical perspectives and evaluations have been made on the interplay of total quality management and I4.0 [26].

In conclusion, transitions in I4.0 expect that companies would radically modify their business models, especially regarding operations (and maintenance), human resources, corporate strategy, and structural or contextual integration. These will be discussed in the next sections.

Operations

4.0 allows SMEs to efficiently react to dynamic market shifts, cut expenses and time for product delivery, while simultaneously increasing productivity and the quality of the product. Nevertheless, improvements in quality enhancement and cost reduction were attained only in a few studies. It is thought that the reduction in costs and enhancement in quality could improve the business model of SMEs by mitigating shortcomings [27].

The management of the enterprise is able to employ I4.0 systems for monitoring, controlling, optimisation, and adaptability purposes. In this context, management can monitor the production system at a global level and make decisions powered by history and recent data. He can also control predefined thresholds for performance and performance alerts based on historical data. In addition, I4.0 enhances value system performance by enabling real-time optimisation of a production system and its resources. Moreover, it is possible for higher levels of system autonomy and adaptability to be attained, and even learn from system behaviour and results independently when monitoring, control, and real-time optimisation are combined. The monitoring of industrial processes is where most small and medium enterprises (SMEs) focus their I4.0 endeavours. From the data available, some of the small and mid-sized enterprises have employed control and optimisation functions, while others



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have provided examples of vertical system integration in the context of I4.0. If there is a lack of horizontal system integration, it restricts the advancement of decision-making systems and the flexibility of configuring production systems regarding I4.0 strategies [28]. The limited vertical and horizontal integration of SMEs results in lower level production efficiency. Therefore, in order for SMEs to undergo successful digital transformation, effective management of internal and external data and information, in addition to vertical and horizontal technology transfer, are fundamental aspects of I4.0 that need to be emphasised. By focusing on these points, SMEs can further improve their digital transformation processes, achieving higher levels of operational efficiency and competitiveness in the I4 industry [29]. For firms to successfully implement I4.0, it is necessary to clearly understand the advantages these technologies present. A number of researches have pointed out that I4.0 has considerable potential to improve effectiveness, increase energy efficiency, and automate workflows. Nonetheless, the implementation of I4.0 technologies is dependent on building a common understanding of its ideas and consequences [30]. A digital readiness pre-assessment is fundamental for the successful digital transformation of SMEs. In particular, the existence and management skills for digital transformation is a critical factor that SMEs must recognise and develop to conduct an effective digitalisation readiness pre-assessment [31]. One of the primary challenges that I4.0 poses for SMEs is increased reliance on technology and infrastructure which, in turn, requires capital spending. This can be a heavy burden for smaller companies that may lack the financial muscle to afford substantial capital investments. However, SMEs which bear the costs of implementing I4.0 technologies stand to gain from improved efficiency, productivity, and competitiveness that, over the medium to long term, would offset the initial investment [32]. Additionally, it is crucial to manage the perception of digital technologies positively as this may help improve operational efficiency. The positive perception opens up and enables the integration of I4.0 solutions into organisational processes in a more efficient manner. For this reason, positive perception, when combined with strategic digitalisation, can be used by organisations to operate optimally through the seamless use of technology to attain sustainable growth and success. Still, digitalisation by itself is insufficient to achieve a full digital transformation of the business. It requires an entire culture, strategy, technology, and operations change, in which processes and data are the central focus [33]. In preparing for the incorporation of I4.0 technologies, a company is required to keep in mind a number of essential considerations. First, they must build a mental model of what I4.0 means for transforming processes within the company. Second, creating a favourable attitude toward the perceptions of digitalisation as enhancing operational efficiency is important to ensure employee buy-in and engagement. It should also be emphasised that there is no single simplistic model of transformation to I4.0 that is appropriate for all SMEs. The use of I4.0 technologies and strategies is determined by several factors, such as the type of business of the SMEs, the particular industry, resource constraints, organisational culture, and the degree of technological readiness.



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Some resources provided methodologies for SMEs' I4.0 transformation. One research offers a three-phase model to assist companies with their transformation initiatives. In the first phase, there is a need to grasp what I4.0 is and carry out a thorough analysis of capacity and resources available. In the second phase, the establishment of prerequisite conditions is accompanied by recognition of relevant I4.0 associated technologies. The implementation of I4.0 Projects along with training and building capacity, risk management, and other adjunct activities is the focus of the third phase. A different research study presenting the adoption of a fragmented approach for the I4.0 implementation among SMEs engaged in smart manufacturing presents five stages. These stages include the definition of relevant manufacturing processes data, smart manufacturing openness evaluation, internal marketing of smart manufacturing vision into SME's top management and other employees, development of an appropriate transformation strategy and formulation of vision together with practices and tools. Other proposals included I4.0 transformation business processes and portfolio management together with a model for I4.0 transformation that contains six main steps: identification, categorisation, evaluation, selection and prioritisation, balancing and communication. This approach enhances and makes the process of analysing and designing business systems more explicit, as the precondition to the technological application, thus, it facilitates and makes risk-free for companies transforming into I4.0.

Nonetheless, it is important to note that each SME is distinct, and their needs, goals, and challenges are different, thus, a one-size-fits-all approach might not be appropriate. Instead, SMEs ought to customise their I4.0 transformation strategies to fit their specific situational context and goals.

Heightened productivity and customer demands are the most commonly acknowledged potential benefits of I4.0 among SMEs, but adoption costs loom as a challenge for these enterprises to embrace such innovative technologies. In I4.0 transformation, it is anticipated that SMEs will be able to reasonably satisfy the customer demands while optimising production costs through the effective use of Big Data. While I3.0 is still present, the fundamental building blocks of this transformation, such as data mining, predictive manufacturing, and Big Data analytical tools, will enable the timely provision of relevant information to the right people, which will, in turn, lead to enhanced operational efficiency. A study conducted on the visualisation of data and information, and its effects on overall equipment effectiveness, demonstrated the use of a digital twin model. With the help of advanced analytics and Big Data, SMEs can streamline production processes, make better decisions, and as a result, successfully transition to I4.0.

Regionally placed businesses that display higher 'openness' with regards to the adoption of enabling technologies are more likely to benefit from I4.0 processes. With increased 'openness' comes increased flexibility, faster operations, greater production capacity, improved accuracy, reduced costs, enhanced product quality, and most importantly, improved responsiveness towards customer needs. In addition, it has been established that regional small and medium-sized enterprises within the manufacturing sector are also highly likely to benefit from increased 'openness' in regard to I4.0 processes.



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Human Resources

One more barrier to growth for SMEs is the fact that these technologies often require specific skills and knowledge that need to be obtained through training. This is difficult for smaller companies who lack the resources to hire a full-time specialist. Nevertheless, there are training opportunities and cooperation arrangements with certain technology providers that can help SMEs obtain the required skills [39]. The absence of external support for digitalisation, lack of management competency for digital transformation, lack of resources, and low level of business partners' digital maturity are known to be risk factors for the failure of digital transformation of SMEs [40]. Apart from having well-experienced and qualified leaders, the successful implementation and management of I4.0 projects also require skilled personnel in the organisation. The success of I4.0 initiatives is significantly determined by the commitment and skills of the employees involved.

Moreover, companies must adopt new approaches to training for the enhancement of employee competencies in an ever-changing environment. With advancements in technology, there comes a need for continuous learning and upskilling so as to stay competitively relevant with I4.0 [41].

The adoption of I4.0 technologies necessitates companies to build and integrate understanding, encourage positive attitudes towards accepting digitalisation, and implement strategic employee capability development training programmes to deal with perpetual change. All these features make it possible to successfully enter the I4.0 era.

Finally, in my opinion, the gaps in management competence and personal leadership for digital transformation will also be broader. Given the volatile nature of today's business environment, leaders will need to change their approach to leadership and team guidance to deal with ambiguity. Leaders in these times need to focus on flexibility, adaptability, and a strong growth mindset. There has to be someone in the company who is capable and knowledgeable enough to effectively manage and supervise the various projects that need to be implemented. This person is critical for ensuring that all I4.0 plans are fully completed and the advanced technological systems are fully utilised. To achieve effective I4.0 transformation, it is imperative that the upper management initiates this transformation while incorporating the views and participation of the entire workforce. The willingness of the employees to accept change is essential, as digitalisation is a critical factor for this transformation's success.

Strategy and Competitiveness

The process of setting an objective will become considerably more dynamic and iterative. This means that additional objectives will emerge. The organisation will have to be agile, implying that objectives and strategies will be adjusted at an increased frequency to respond to market changes and new opportunities. These intermediate determinants are crucial for small and medium enterprises to formulate an all-inclusive digital transformation strategy [43].

The presence of strategy tools helps to reduce ambiguity in the course of strategic development. They bring clarity and order to the task but also serve to motivate the practitioners. This clearly indicates that these practitioners feel more confident in making important decisions and in tackling the challenging issues and complexities



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that define their environment. They in turn create an organisation where strategic development can be conducted more efficiently and effectively. I4.0 is not an entirely disruptive endeavour; rather, it is a shift caused by the new competition and technological advancements. This change ought to be controlled by what has already been learned from the previous revolutions. A digital transformation strategy should look to redefine fundamental business norms and of this, efficiency, performance, competitiveness, productivity, cost advantages, and economies of scale through new digitally based technologies stand out [44].

The framework for smart manufacturing adoption for small and medium-sized enterprises (SMEs) starts with two components: specifying the manufacturing data to be collected and evaluating the firm's readiness for smart manufacturing. These components provide the required groundwork for designing a complete plan and defining the tools and processes that will support the shift from conventional to smart manufacturing. While SMEs move through the steps of a smart manufacturing strategy process, they make considerable progress toward understanding the technical, managerial, and operational prerequisites necessary for the transformation and its implementation. A planning approach may be useful for SMEs in coping with unpredictable situations and making decisions. It encourages decision making in real time based on analysis of the situation, effective planning, and collaborative decision-making systems. Adopting this approach enables SMEs to deal with unfamiliar situations more efficiently, increasing their capacity to make effective decisions in response to a constantly changing market environment. It is also important to note that while SMEs follow through on their transformation strategy during the I4.0 implementation, they will require flexibility and adaptability in an environment of fast-changing technology and market conditions. Periodic review and modification of the transformation plan will assist SMEs in maintaining a competitive edge and addressing I4.0 challenges effectively. The technological selection and implementation of a given company's products, processes, and services determines the company's general strategy. Small and mid-sized firms need to analyse their processes and operations deeply to find gaps which can be filled by applying I4.0 technologies, and the desired change must correspond with the firm's objectives. As a response to these problems, strategic portfolio management I4.0 becomes an important focus area of study. Collaborating with experts, consultants, and practitioners also helps design a tailored I4.0 implementation roadmap. Portfolio management integrates I4.0 implementation supervision and improves the targeting of funded projects to the prevailing business strategy. Likewise, portfolio management serves as an integrating mechanism within the I4.0 framework to help ease the digital transformation of organisations to become more agile and responsive to changing business needs.

Organizational Fit

The industry 4.0 (I4.0) strategies and technologies require automating and digitising the firm's processes which may necessitate changes in the organisation's structure, culture, and processes. The design of organisational structures and processes will assume even greater importance in maintaining a constant flow of operations within an automatically changing environment. It will be mandatory to design and implement new approaches that help rethink and restructure the organisation and its



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processes. A problem-oriented methodology involving a system-driven approach to the performance of the whole system is needed [34]. I4.0 should be integrated into SME strategies because there is a need for collaboration, process autonomy, operational coordination, and product customisation [35].

Within the scope of I4.0, SMEs must achieve certain objectives with regard to supply chain integration and cost reduction while implementing new technologies. The adoption of automation technologies within SMEs will improve production efficiency [36]. In addition, accurate estimation of customer demand can enable effective and efficient supply chain management analytics [49].

Moreover, systems anchored on I4.0 offer further advantages, such as improved flexibility, which helps SMEs respond promptly to dynamic market changes. This ability to manufacture products in small quantities enables niche markets and facilitates more customised offerings to clients. Additionally, I4.0 encourages complete integration of a customer which enhances client relations to aid digital supply chains and improve client satisfaction [51]. Amid the rapid changes of contemporary business, SMEs need to reorganise themselves with I4.0 as a primary goal to fully leverage digitalisation as a source of competitive advantage. It calls for a proactive strategy that integrates people, processes, and technology to deliver intended results. I4.0 changes to an organisation can be summarised in: changes in processes, changes in structure, changes in culture, and changes in politics. In process changes, the emphasis is on the alteration of flows and control overflows. Structural changes are considered changes that involve a function and its organisation, coordination, and control as a whole. Cultural changes denote a shift in values and beliefs as well as human behaviour concerning social norms and practices. Political changes are those which deal with the distribution of authority or power and the management of organisational affairs. These changes can be either intended, in that they are designed as part of an I4.0 project or strategic planning, or unintended, in that they result from chances encountered during or after an I4.0 project.

To sum up, the above-mentioned dimensions are essential factors of the technology diffusion and acceptance theories. In an organisational setting, this corresponds with change management. To the best of our knowledge, the literature does not treat this particular transformation in such an integrated manner as it is presented here. Populations of literature typically study one or two of the factors mentioned above, which creates a gap in the broader context in which this study is framed [53].

Data and Methodology

This subsection describes the methodology followed and the data gathered for collection. The flow diagram of research methodology is illustrated in Fig. 1.

We maintain that PM is a core management domain to the adjacent I4.0 transition. As stated previously and supported by some studies, it needs accurate process bordering well before the application of digital technologies, and therefore affects the operations, human resources, organisational, competitive, and strategic dimensions. Consequently, the following hypotheses are set forth.

H1. Operations (Opr) is related to PM.

H2. PM is related to HR.

H3. Strategy (Str) is related to PM.

H4. Competitiveness (Comp) is related to PM.



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H5. Organisational fit (Orgfit) is related to PM.

In order to validate the assumptions, we conducted a survey. A questionnaire was designed to evaluate the effect of effective PM on the adoption of I4.0 in Mexican manufacturing SMEs among other uses. For the purpose of correct wording, formatting, and ordering letters, the questionnaire was pilot tested a number of

times [54].

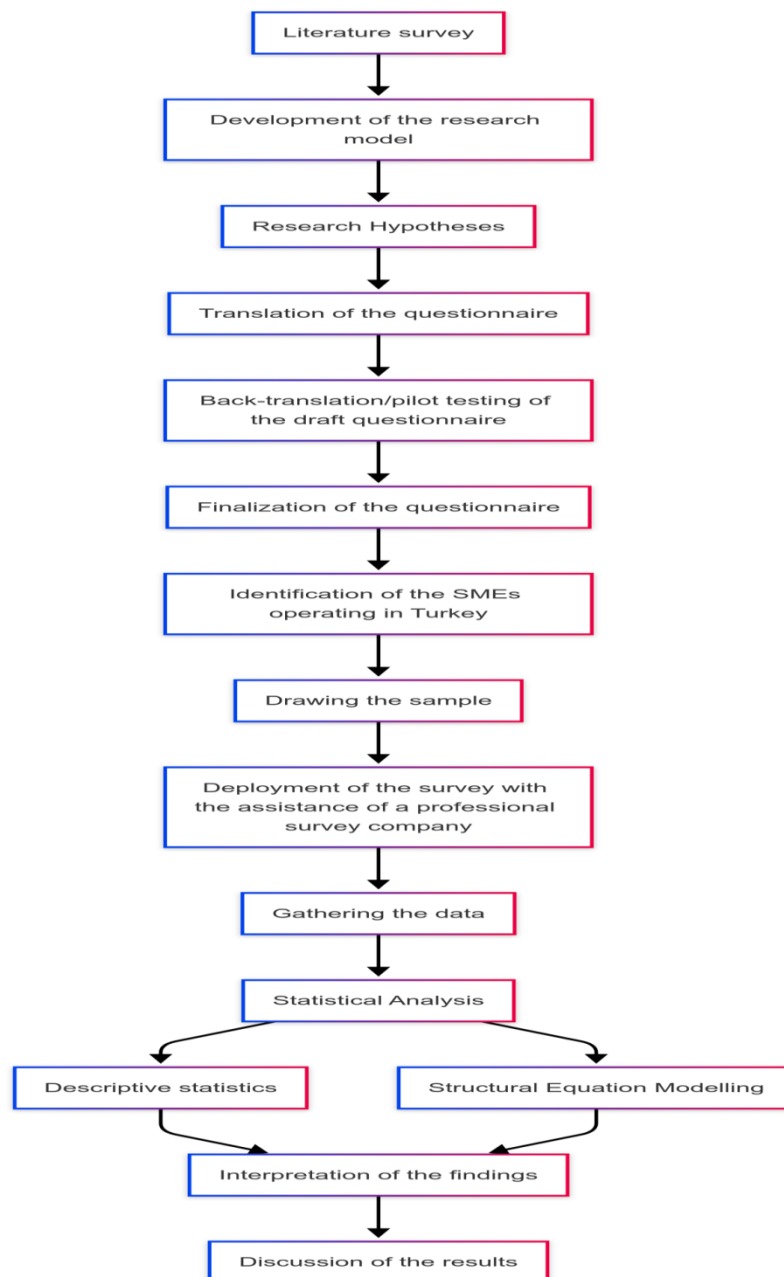


Fig.1 Research Study Workflow

The information was obtained from an online survey conducted in 2022. The first survey was translated from English to Spanish by the principal author and, in order to ensure accuracy, underwent a back-translation process—a standard protocol for



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identifying possible errors due to misunderstanding or misinterpretation prior to implementation. [55]. From the literature review, we compose the research framework as shown in Fig. 2.

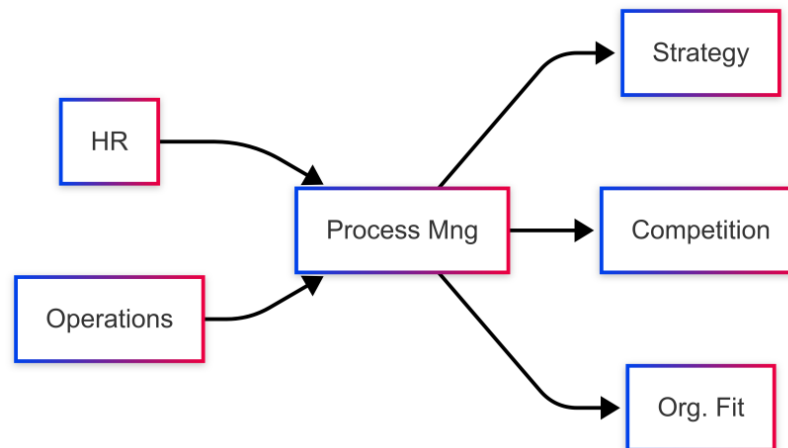


Fig.2 Methodology for Research

The focus of this article was for SMEs functioning within the territory of Mexico, namely those in the services and manufacturing industries based in Mexico City. The SMEs were members of the Confederation of Employers of the Mexican Republic (COPARMEX) and the Canaco Mexican Chamber of Commerce. To identify the industries, we received aid from a specialty survey company that possessed the 2021 statistics from the Mexican Social Security Institute. This article used the simple random sampling method to allow for every firm in the population to have an equal opportunity to be chosen. As the selection method is completely objective and it mirrors the population, simple random sampling method guarantees reliability of the results. We randomly selected and invited 475 firms to the survey. Out of the 475, 207 responses were useful making the effective response rate of 43.16 percent. The total number of sent questionnaires was addressed to senior executives, knowledgeable, and skilled in I4.0; together with these, the questionnaires were sent via email.

The respondents completed questions in an online form based using a five-point Likert scale where 1 signified 'the weakest' and 5 signified 'strongest' as the highest score. From the information gathered, confirmatory factor analysis (CFA) was performed. CFA demonstrates the weight of every factor that a particular model has, which have been labelled as Strategy (Str), Competitiveness (Comp), HR, Operations (Opr), Organisational Fit (Orgfit), and I4.0 PM.

The analysis of data was divided into three phases:

Illustration of measures was prepared in order to describe characteristics of the companies and the respondents that have been participating in the data collection process;

Analysis of measurement models for each of the constructs with the help of CFA in order to determine if the dimensions defined in the literature review were fitting to the data; and calculation of causal relationships between the constructs of the study, as it was proposed in the research framework.

The answers suggest that the majority of the respondents filling out the questionnaire were indeed the owners or partners of the firm (50.2%). The sample is



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composed of businesses from different fields such as: retail and trade (37.2%); industry and manufacturing (15.5%); restaurants and food services (12.6%); services (8.2%); construction (6.8%); legal industry (5.3%); transportation (3.4%); finance (2.4%); and Information Technology and Informatics (2.4%). There also exists one respondent from the education sector, one from the health industry, and one from marketing and advertising. Most firms (38.2%) operate for less than ten years, and 31.9% operate for more than ten years. In total 18.8% of the firms operate for more than twenty years, while 8.2% operate for more than thirty years, and 19% of firms operate for more than forty years. Only one of the respondents said that he operates for more than fifty years. As per the table, it can be stated that a large proportion of firms in the sample are new.

From the collected data, 69.1% of the sample firms are categorised as micro enterprises, while small enterprises comprise 22.7% and the rest are medium sized. In gender proportions, females form the larger share of the sample at 54.1%. The descriptive statistics regarding salient features of the participating enterprises are given in Table I.

Table I: Descriptive Statistics Results

Demographics	Percentage	Frequency
<i>Industry</i>		
Education	1.00%	3
Finance	2.00%	4
Service	7.50%	16
Law	6.00%	12
Construction	5.50%	13
Internet & Informatics	2.00%	4
Marketing & Advertising	0.70%	6
Retail & Trade	38.00%	75
Restaurant & Food	11.50%	25
Health Service	1.00%	4
Industry & Manufacturing	16.00%	31
Transportation	3.00%	6
<i>Gender of the Respondents</i>		
Male	44.50%	94
Female	55.50%	118
<i>Role of the Respondent in the Company</i>		
Senior Manager	29.00%	60
Mid-Level Manager	14.00%	28
Junior Manager	8.00%	16
Owner/Partner	49.00%	102
<i>Age of the Company</i>		



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0–9 years	36.00%	78
10–19 years	33.00%	68
20–29 years	19.50%	40
30–39 years	7.50%	16
40–59 years	2.00%	5
50+ years	1.00%	3
<i>Size of the Company</i>		
Micro (1–9 employees)	70.50%	140
Small (10–49 employees)	21.00%	46
Medium (50–249 employees)	8.50%	18

Then, we moved to the evaluation of the model fit. CFA is the evaluation of a hypothesised model, and it uses isolates and interdependencies to see if the constructs as established indeed reflect their definitions. The main indicators used in assessing the measurement model fit are Strategy, Organisational Fit, PM, Competitiveness, Operations, and HR. These details are presented in Table II where regression weights, reliability composite (CR), and variance (AVE) for all these constructs are presented.

To discern the extracts that made up each construct, we looked at the standardised loadings and found that all constituent items were significant ($p < 0.001$) and above the threshold of 0.50. To measure reliability, both CR and AVE were computed for all constructs. CR is for measuring internal consistency of the constructs. It is important to note, however, that there is no agreement on what constitutes sufficient CR values. Some researchers advocate the 0.70 cutoff value for CR, while others propose a more lenient value of 0.60. For each of the six constructs, the CR values ranged from 0.78 to 0.93, which are all above the acceptable cutoff of 0.60. When the CR value is greater than 0.6, it indicates that the respondents constantly met the items of their respective constructs. The AVE is the sum of the variances of the individual items comprising the construct. In addition, there is not a generally accepted standard for minimum AVE value. Some researchers set 0.50 as a cutoff while at other instances a less stringent 0.40 is used.

The constructs' AVE figures varied from 0.56 to 0.42 and surpassed the suggested thresholds confirming reliability of all constructs as shown in Table II. To put it differently, AVE refers to the average degree of variation a specific latent construct is capable of explaining in the observable variables it is associated with.

Results

Proposed in the prior study are accepted thresholds for fit indices as displayed in Table II alongside their proposed values. The chi-square/df ratio is proportionally significant. The RMSEA and RMR coefficients for the proposed model are 0.065 and 0.062, respectively, which indicates a reasonable fit, as RMSEA and RMR coefficients need to exceed 0.08. The remaining IFI indicators (NFI, IFI, TLI, and CFI) as displayed in Table II, are lower than the accepted limit of 0.90, which indicates the proposed model requires some revisions.



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Modifications of the model are not required as the proposed model met the goodness of fit indices. The final stage in the analysis involved assessing how strongly each pair of constructs is related after the structural and measurement components of the primary models had been evaluated.

TABLE II: Goodness of Fit Indices for Overall Model

Fit Index	Value	Acceptable Range
Chi-Square/df	1.82	< 3.0
RMSEA	0.065	< 0.08
RMR	0.062	< 0.08
NFI	0.91	> 0.90
IFI	0.92	> 0.90
TLI	0.92	> 0.90
CFI	0.93	> 0.90

The impact of Operations (Opr) on PM ($\beta = 0.673$; $p < 0.001$). This confirms Opr has a direct positive impact on PM. This result strongly confirms the argument we seek to establish in H1.

The standardized regression weight for the hypothesised relationship between HR and PM was also found to be positive and significant ($\beta = 0.512$; $p < 0.001$), thus confirming H2 and stating that HR had a strong direct positive impact on PM.

There is also an influence of Str on PM ($\beta = 0.808$; $p < 0.001$). This supports H3. As the result confirms the assumption we already have that PM significantly relates to strategy, it proves the fact that PM is often associated with strategy.

The standardized regression weight of PM's effect on competitiveness was positive and significant ($\beta = 0.724$; $p < 0.001$). This confirmed H4 that PM had a strong positive direct impact on Competitiveness.

As hypothesised, we also found a direct positive and significant effect of PM on organisational fit (Orgfit, $\beta = 0.58$; $p < 0.001$). This result strongly supports H5, which asserts that PM's effect on Orgfit is positive.

Overall, all hypotheses postulated are confirmed, which allows us to conclude that the proposed model is validated.

Discussion

Past studies concentrated on the implications of I4.0 technologies and PM as separate goals, whereas this study differentiates by assuming I4.0 PM serves as a facilitator instead of an objective. More specifically, we show that I4.0 PM assists firms in their I4.0 transitions by transforming operations and human resources. This transformation improves fit at the organisational level, alignment with strategic goals, and competitive advantage. The econometric model further reveals the relationship between strategy, organisational fit, operations, human resources, competitiveness, and PM.

Operations

With regard to operations, there is a clear attempt to improve production support in



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relation to the early detection of faults. This is an example of moving away from traditional maintenance approaches to more advanced strategies where companies can plan for downtimes and manage repair times, aiming to increase production reliability and stability. Digitalisation offers opportunities for an advanced level of efficiency in operations management and is expected to catalyse increased production efficiency. Enhanced production execution is made possible through unique product designation, components tracking, and resources autoconfiguration, which result in the enhancement of production. Moreover, companies expect that the adoption of digital manufacturing technologies will reduce waste and cost of manufacturing. The production support functions have become more integrated into the production planning processes by utilising operator's knowledge and production data for further advanced production support and minimisation of manufacturing delays. Moreover, the incorporation of lean techniques, automation of the production environment simulation, and forecasting the production using simulation adds I4.0 digital support for production. The end result of the digitisation process is better control of production management regarding the company's business processes.

Human Resources Undefined

In ascertaining organisational fit, a specific alignment of the HR base is required. At this stage, there is a need to support enhancement of the digital skill base of employees that have current basic digital technology skills. This result shows that businesses understand the skill gaps that they have amongst their employees. Moreover, there is a need for the formation of a digitally competent workforce and for related employees, further skill development is necessary. There is no doubt that management acknowledges that digital transformation goes beyond purchasing technical equipment and installing it. People's skills underpin a successful and sustainable transition.

Strategy

Everything starts with the identification of a defined digitalisation strategy, which is not a stand-alone strategy but part of the overall corporate strategy of the company. Moreover, the digitalisation strategies are in the context of the corporate and organisational strategies, including focus areas of critical intervention for the I4.0 objectives in the production process. These results corroborate that firms perceive the necessity to develop holistic integrated strategies across various organisational levels, that is, corporate and business/service functional units.

Competitiveness

Each company's competitive position is affected by strategy, organisation, HR, and operations in a powerful manner. Digitalisation facilitates and enables greater market share of a company's products or services. This is due not only to the mechanisation and enhancement of processes and production systems, but also the change management that attends the modified control processes. These actions may need the entire business model to be redefined on all its dimensions which includes strategy, resources, and governance models. Therefore, I4.0 transition exposes the companies to enhanced competition against rival firms, however, on the other side if



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the transition is poorly managed or undermanaged it could lead to greater disbenefits. In this aspect, competitiveness is enabled through digitalisation.

Organisational Fit

Organisational fit also plays a pivotal role in performance management (PM). The employees' culture is one of the most essential in which we found strong organisational culture supporting flexibility pertaining to the adaptation of I4.0. This was even more evident with regard to employees' participation in change management processes. Naturally, one may say that it is quite obvious—successful change management is premised on the fact that employees from the outset participate. Nonetheless, employee involvement is only possible when there is sufficient willingness from external parties, which is essential in the PM domain. A firm's PM model used at present with regard to efficiency and meeting the strategic objectives of the firm is yet another powerful driver for PM together with the firm's response to the need to embrace digitalisation (move to I4.0) and how well these respond to the existing organisational structure. This integrates the adage that structure follows strategy – a change management system towards I4.0 transition will not work without restructuring the organisational structure to enable it to facilitate the strategic goal.

Conclusion

The results validate that PM is the most important management dimension in the adaptation to I4.0 system. The analysis points out that this change, in particular, is vital for businesses to remain competitive. Neglecting to transform their business models will certainly allow rivals to take a hefty lead. Simply put, firms have no option other than to undergo digitisation process alongside its all ramifications.

This paper presents evidence supporting the argument that PM within SMEs is not only a mediating variable but also acts as an active force that propels the digital transformation process. A firm that organises and directs human resources and operational processes in an appropriate manner is able to achieve a proper alignment of strategic goals and organisational structures, and thus, gain sustainable competitive advantage.

To facilitate successful change management, a comprehensive strategy involving all organisational components, particularly HR, is needed. It is related to people employing technology. This shows how critical the human factor is in any kind of change management. People can see this equally in the necessary skills and related competences that need to be provided prior to, during, and after the change, as well as in the description of the organisational culture which relies on the readiness of the employees to change. These characteristics of PM were analysed using our questionnaire and we suggest:

Making sure I4.0 and its contemporary characteristic of automation and data exchange transform traditional manufacturing operations into digitised business processes within the organisation. Ensuring that the organisation has a vision of what the process steps and what the core process stabilisation pillars to be improved through digitalisation and I4.0 implementation. Making sure that the organisation is able to identify all the needs of components of core processes for a sophisticated underlying PM model for effective I4.0 integration.



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We apply the principles of active involvement in change management processes that concern people. Not doing so will lead to failure, which may endanger the company's existence. Some of these include effective top management communication and the participation of all employees in the design of relevant transition processes and activities. Omit these, and you risk resisting the changes, which may sink the company.

In relation to the above, the managerial staff dealing with human resources has the responsibility not only to create the technical abilities needed, but also facilitate the environment that enables smooth change to take place. This is an environment that encourages, if not fully employee engagement, at least reasonable participation and not active resistance to the implementation of the required changes. For that purpose, companies should consider factors of external change management which have been written about and given sufficient attention in professional practice. Still the difference with the ordinary change management which has been practised during the few decades is that, there is no option available to the firms to remain unaffected by the digital shift. Therefore, from this perspective, PM is the central management discipline associated with the I4.0 change. To integrate PM with digital politics, there need to be specific plans pertaining to operational, human resource, organisational, competitive strategy, and other impacts. The changes outlined in the paper do not support the emergence of a new paradigm of management, but rather underline the need for deep rethinking of managers and specially HR managers over a longer period of time.

For a long time, it has been the case in the literature that the former has received considerable attention while, as of now, it is not completely directed at transition management (although on change management) and to a lesser degree, on innovation management. In close, the following offers a consolidated view of findings of this article:

PM is one of the critical enablers for effective execution of I4.0.

Both HR management and operations contribute to PM as well as successful implementation of I4.0.

The strategy, competition, and organisational fit framework enables measurement of I4.0 implementation effectiveness.

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